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THIRD SUPPLEMENTAL INFORMATION  
DISCLOSURE STATEMENT  
Examining Group 1617  
Patent Application  
Docket No. UF-340XC1  
Serial No. 10/731,528

October 25, 2006

David Saliwanchik

David R. Saliwanchik, Patent Attorney

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Examiner : Barbara P. Badio, Ph.D.  
Art Unit : 1617  
Applicants : Laszlo Prokai, Katalin Prokai, James W. Simpkins, Neeraj Agarwal  
Serial No. : 10/731,528  
Filed : December 9, 2003  
Conf. No. : 3723  
For : Prodrugs for Use as Ophthalmic Agents

MS AMENDMENT  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

THIRD SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT  
UNDER 37 C.F.R. §§ 1.56, 1.97, AND 1.98

Sir:

In accordance with 37 C.F.R. § 1.56, the references on the attached form PTO/SB/08 are being brought to the attention of the Examiner for consideration in connection with the examination of the above-identified patent application. Copies of the cited documents are enclosed. However, Applicants have not submitted copies of the U.S. patents or published U.S. Patent Applications cited on attached Form PTO/SB/08 pursuant to 37 CFR 1.98(a)(2)(ii).

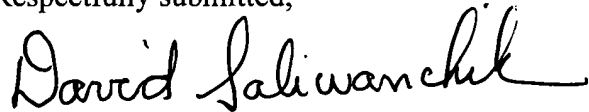
The undersigned hereby certifies that each item of information contained in this statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.

Please note that the reference DE 196 54 750 A1 is in a foreign language. However, the applicants have attached a copy of the Supplementary Partial European Search Report herewith that contains a concise explanation of the relevance of this citation to the subject application.

It is respectfully requested that the Examiner indicate consideration of the cited references by returning a copy of the attached form PTO/SB/08 with initials or other appropriate marks. If any additional fee is required, or to credit any overpayment, please use Deposit Account No. 19-0065.

Applicants respectfully assert that the substantive provisions of 37 C.F.R. §§ 1.56, 1.97, and 1.98 are met by the foregoing statements.

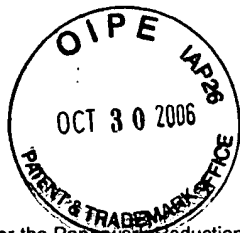
Respectfully submitted,



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Attachments: Form PTO/SB/08A-B  
Copies of cited references  
Copy of Supplementary Partial European Search Report



PTO/SB/08A (08-03)

Approved for use through 07/31/2006. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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<b>Substitute for form 1449A/PTO</b>  <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  (use as many sheets as necessary)				<b>Complete if Known</b>	
				Application Number	10/731,528
				Filing Date	December 9, 2003
				First Named Inventor	Laszlo Prokai
				Art Unit	1617
				Examiner Name	Barbara P. Badio, Ph.D.
Sheet	1	of	2	Attorney Docket Number	UF-340XC1

U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. <sup>1</sup>	Document Number Number - Kind Code <sup>2</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
	U1	US-5,041,434	08-20-1991	Lubkin <i>et al.</i>	All
	U2	US-5,646,136	07-08-1997	Petrow <i>et al.</i>	All
	U3	US-			
	U4	US-			
	U5	US-			
	U6	US-			
	U7	US-			
	U8	US-			
	U9	US-			

FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document Country Code <sup>3</sup> - Number <sup>4</sup> - Kind Code <sup>5</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
	F1	DE 196 54 750 A1	07-02-1998	Zander	All	
	F2	WO 02/36605	05-10-2002	Washington University	All	
	F3					
	F4					
	F5					
	F6					
	F7					

Examiner Signature		Date Considered	
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kind Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard T.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.**

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Substitute for form 1449B/PTO <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> (use as many sheets as necessary)				<b>Complete if Known</b>	
				Application Number	10/731,528
				Filing Date	December 9, 2003
				First Named Inventor	Laszlo Prokai
				Group Art Unit	1617
				Examiner Name	Barbara P. Badio, Ph.D.
Sheet	2	of	2	Attorney Docket Number	UF-340XC1

NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article, (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
	R1	BIGSBY, R.M. <i>et al.</i> "Protective Effects of Estrogen in a Rat Model of Age-Related Cataracts" <i>Proc. Natl. Acad. Sci.</i> , August 1999, pp. 9328-9332, Vol. 96.	
	R2	PROKAI, L. <i>et al.</i> "Chemical Shield Mechanism for Estrogen Neuroprotection: Steroidal Quinolins as new Molecular Leads" <i>Abstracts of Paper. At the National Meeting, American Chemical Society</i> , March 23, 2003 – March 27, 2003, Vol. 225, No. 1/2.	
	R3	MCKINNEY, K.A. <i>et al.</i> "Hormone Replacement Therapy and the Eye" <i>Journal of the British Menopause Society</i> , March 2000, pp. 15-17, Vol. 6, No. 1.	
	R4		
	R5		
	R6		
	R7		
	R8		
	R9		
	R10		
	R11		
	R12		
	R13		

Examiner Signature		Date Considered	
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> Applicant is to place a check mark here if English language Translation is attached.

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